



# Continuous Pain Intensity Estimation from Autonomic Signals with Recurrent Neural Networks

Daniel Lopez-Martinez<sup>1,2</sup> (dlmocdm@mit.edu)  
Rosalind Picard<sup>1</sup>

<sup>1</sup> Affective Computing group @ MIT Media Lab

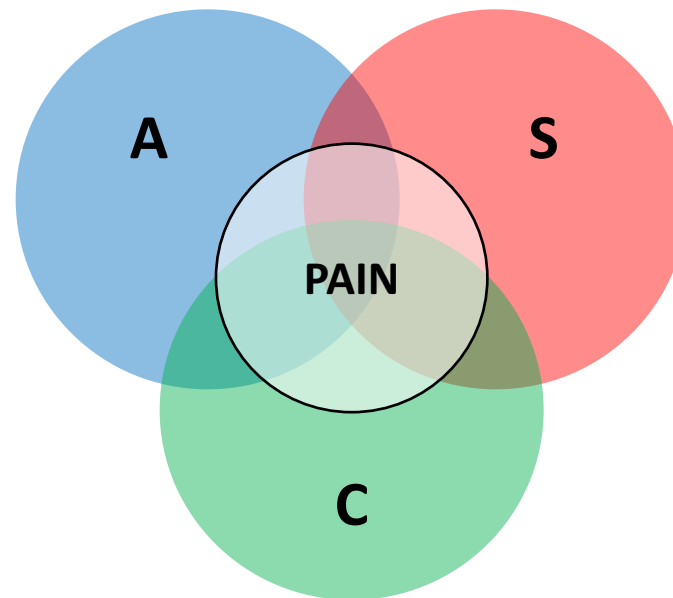
<sup>2</sup> Harvard-MIT Health Sciences & Technology

# What is pain?

*“Pain is a distressing experience associated with actual or potential tissue damage with sensory, emotional, cognitive and social components.”*

*A. C. de C Williams and K. D. Craig. Updating the definition of pain. Pain, 2016*

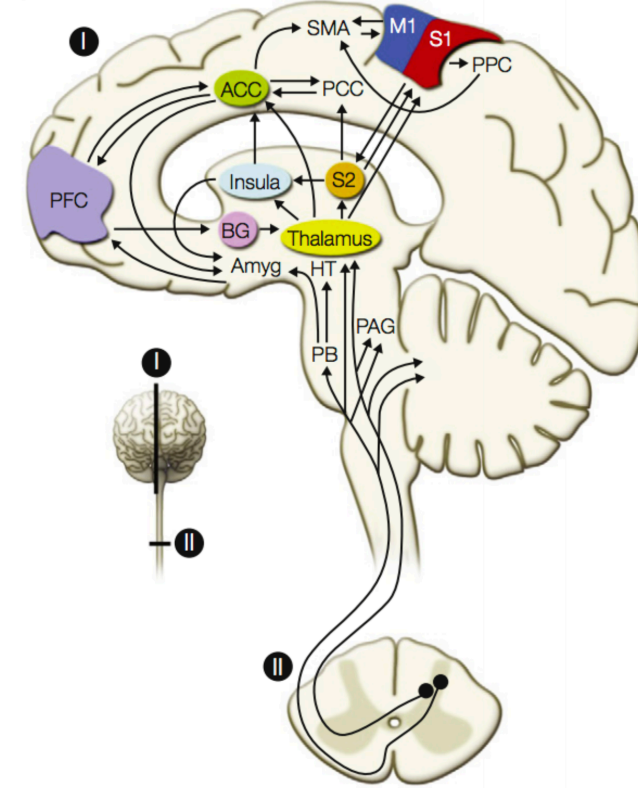
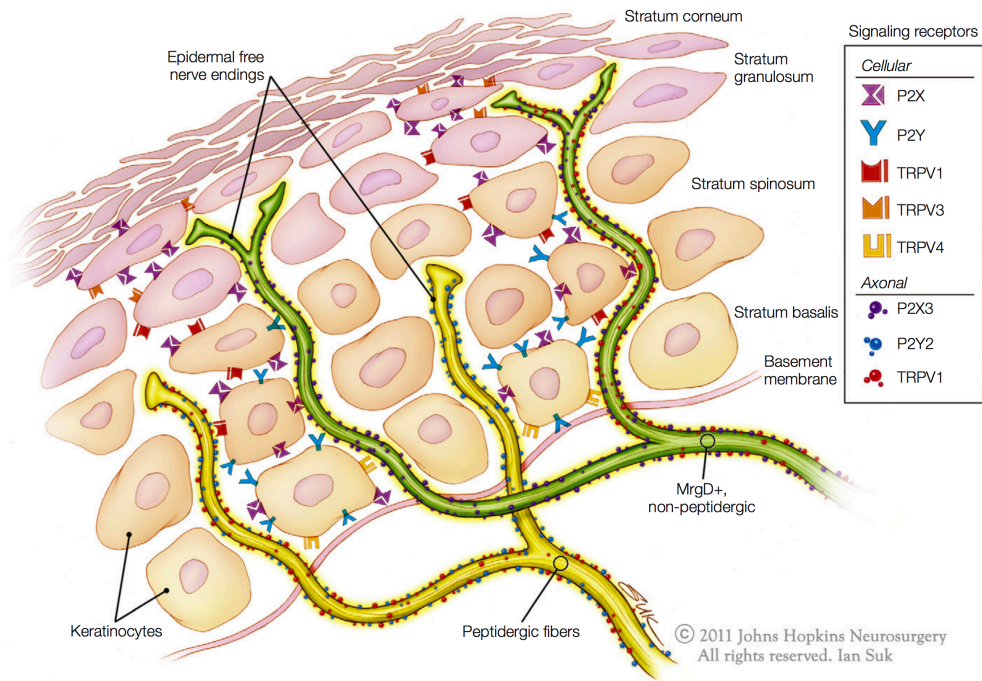
**Affective:** Negative emotion: anxiety, fear, unpleasant sensation.



**Sensory:** Perception of pain characteristics: intensity, quality, location.

**Cognitive:** Interpretation of pain.

# Pain $\neq$ nociception

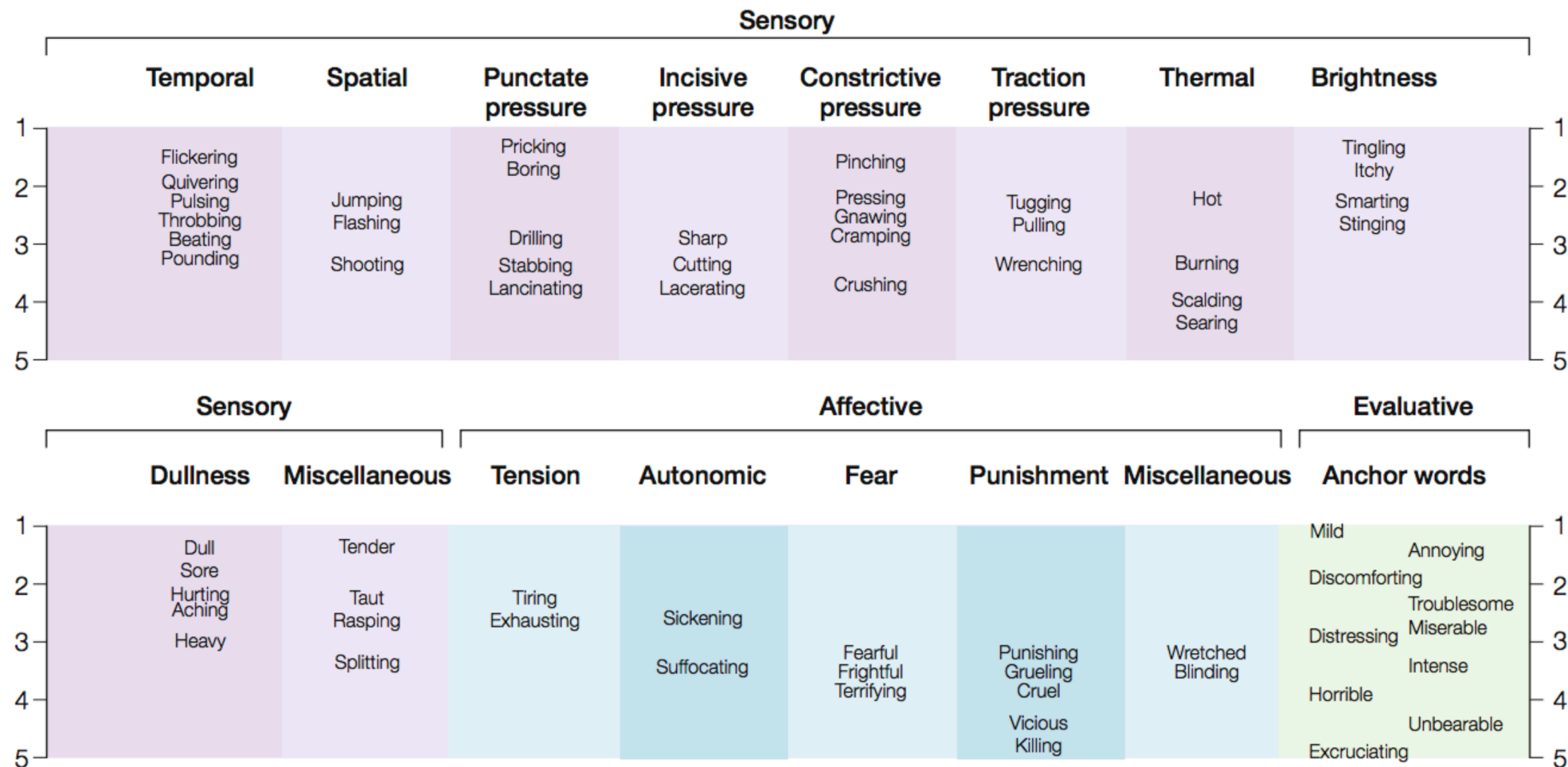


- **Nociception** refers to the peripheral and central nervous systems processing information generated by stimulation of nociceptors by noxious stimuli.
- Nociception can occur in the absence of pain.

- **Pain** is a product of higher brain center processing of signals it has received.
- Pain can occur in the absence of nociception (e.g. neurogenic pain).

Figures reproduced from: Wall & Melzack's Textbook of Pain, Sixth Edition

# Self-report: the gold standard of pain measurement



Pain descriptors based on intensity ratings by patients

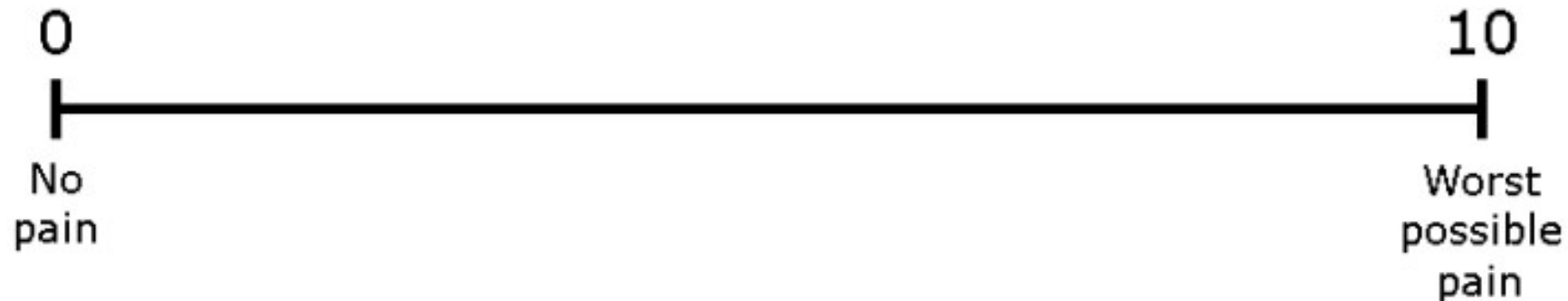
Wall & Melzack's Textbook of Pain, Sixth Edition



# Self-report: the gold standard of pain measurement

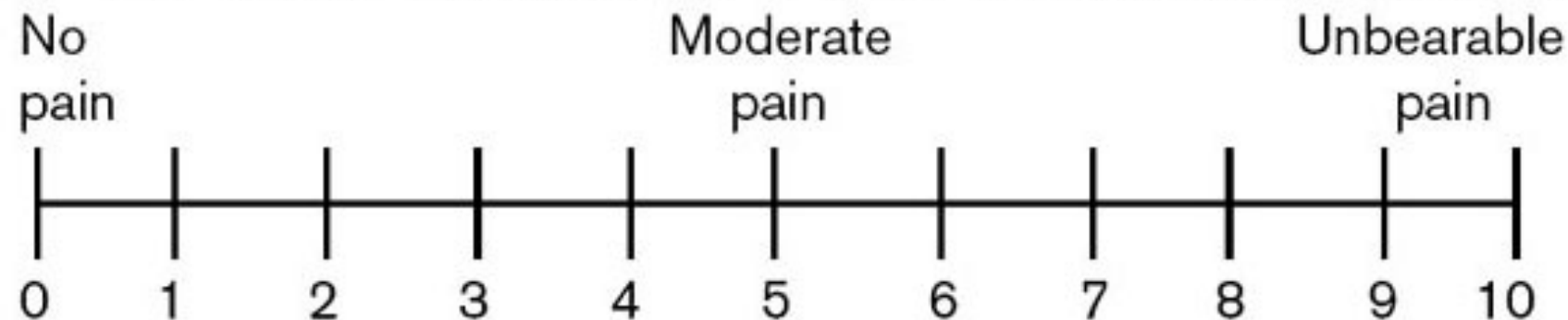
## VAS

Visual Analog Scale



## NRS

Numerical Rating Scale



# When self-report fails... Automatic Pain Recognition

When pain  
cannot be  
communicated



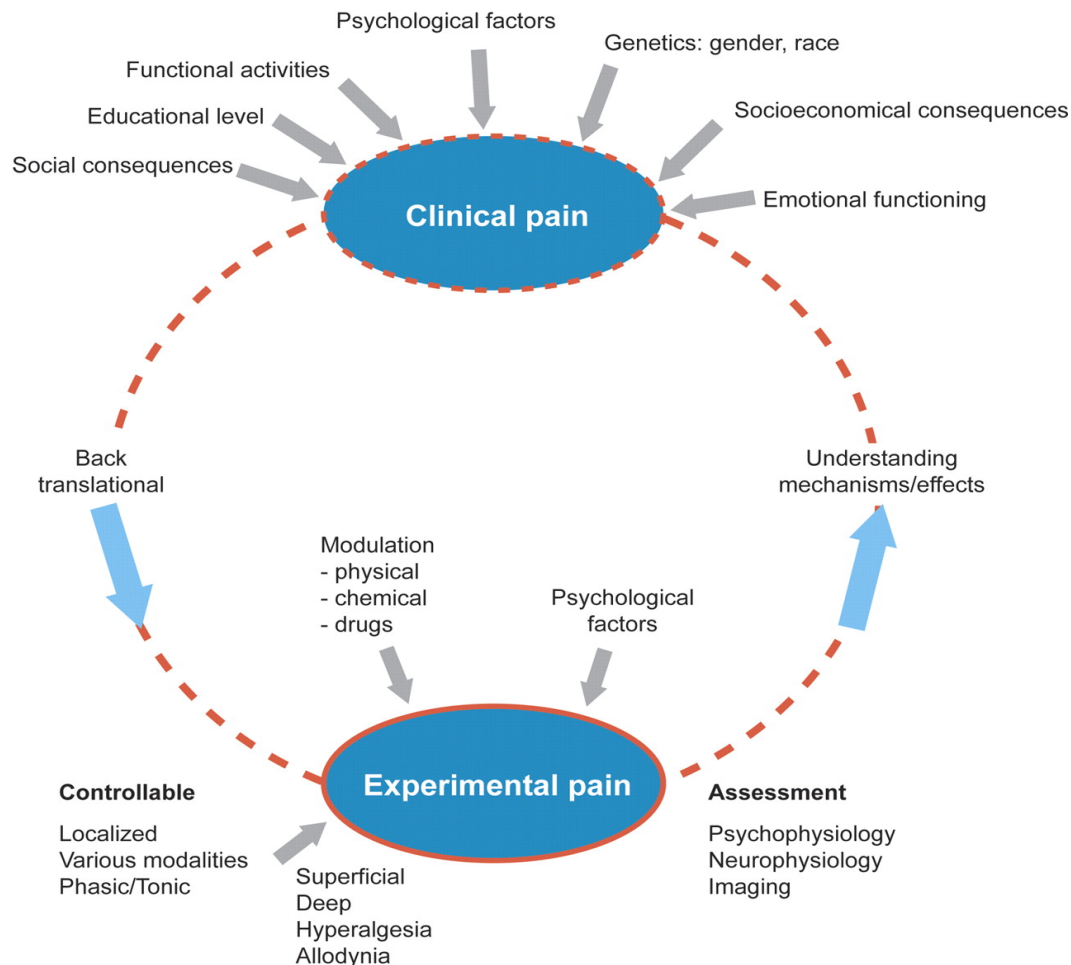
Large-scale  
clinical studies



Human-robot  
interactions



# Experimental pain elicitation methods and human models of pain



Experimental pain models are important for study of mechanisms which could not be studied in patients but could be standardized and modified for clinical use.

Pain elicitation methods:

- Heat
- Cold
- Electrical
- Chemical
- Mechanical

# BioVid Heat Pain Database

## Participants

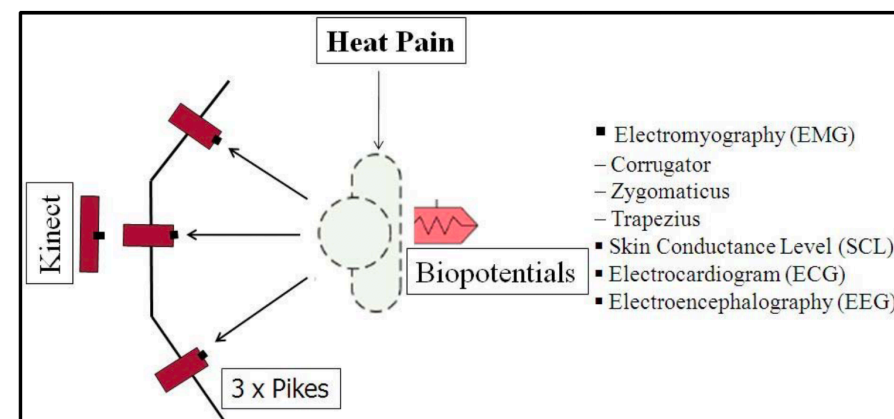
- 90 subjects in three age groups:
  - a) 18-35 (N = 30; split half man/women)
  - b) 36-50 (N = 30; split half man/women)
  - c) 51-65 (N = 30; split half man/women)

## Measured biopotentials

- Skin conductance
- EKG (2 electrodes)
- EMG (2 channel, for for corrugator, zygomaticus and trapezius muscles)
- EEG (21 channels)
- + video

## Pain stimulation

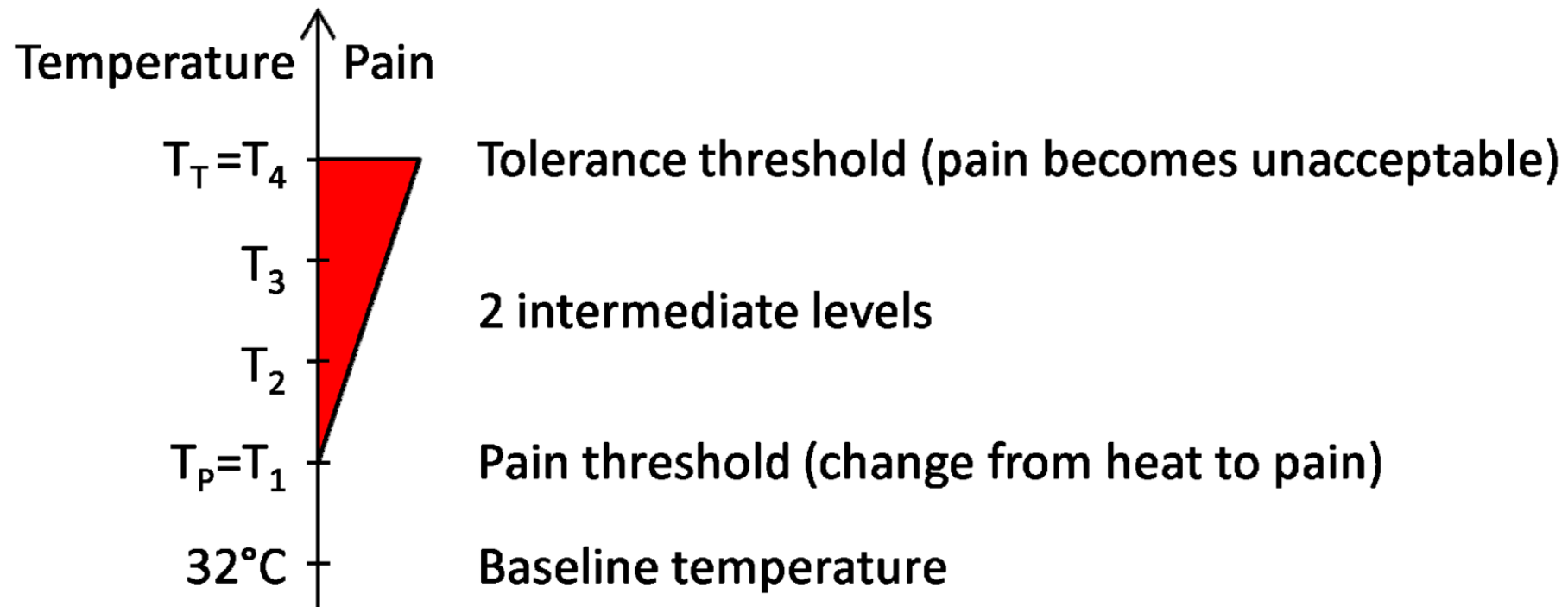
PATHWAY thermode at right arm



Steffen Walter, Sascha Gruss, Hagen Ehleiter, Junwen Tan, Harald C Traue, Stephen Crawcour, Philipp Werner, Ayoub Al-Hamadi, and Adriano O Andrade. The biovid heat pain database data for the advancement and systematic validation of an automated pain recognition system. In 2013 IEEE International Conference on Cybernetics (CYBCO), pages 128–131. IEEE, 6 2013.

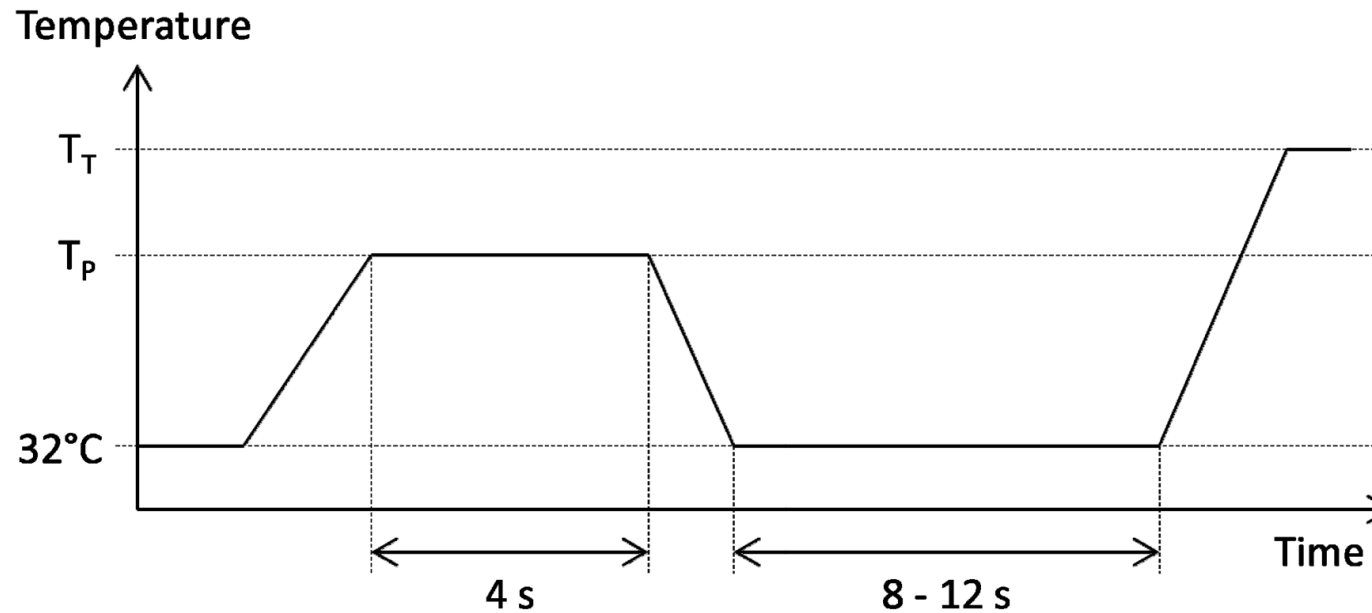
# Personalized temperatures

- Temperature levels are "personalized", they are specific for each subject.
- All temperatures are  $\leq 50.5^{\circ}\text{C}$ .



Steffen Walter, Sascha Gruss, Hagen Ehleiter, Junwen Tan, Harald C Traue, Stephen Crawcour, Philipp Werner, Ayoub Al-Hamadi, and Adriano O Andrade. The biovid heat pain database data for the advancement and systematic validation of an automated pain recognition system. In 2013 IEEE International Conference on Cybernetics (CYBCO), pages 128–131. IEEE, 6 2013.

# Pain stimulation

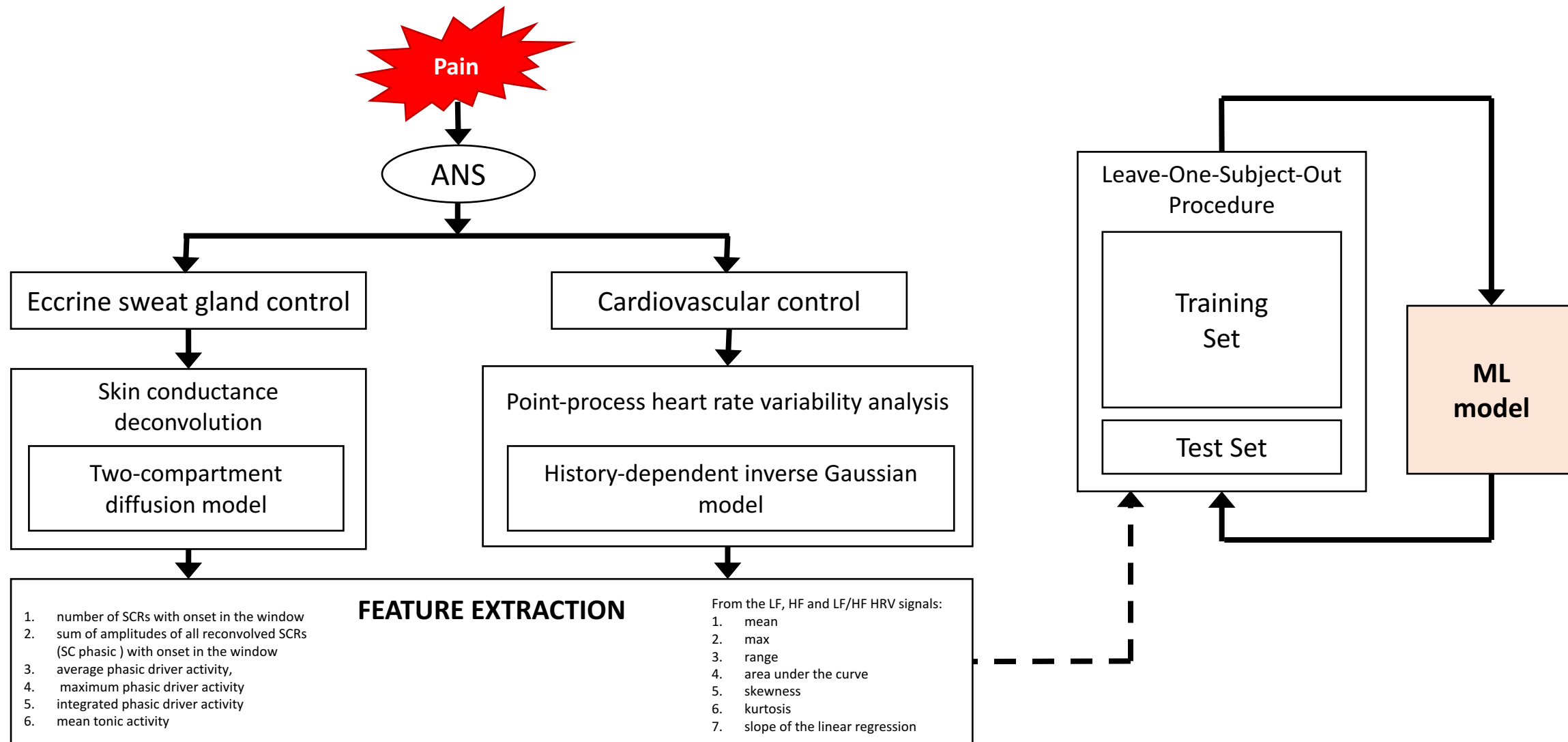


- Each sequence is 25 min approx.
- 80 stimulations, 20 for each temperature level.
- The maximum temperature of each pain level was hold for 4 s.
- The pauses between the stimuli were randomized between 8-12 s.

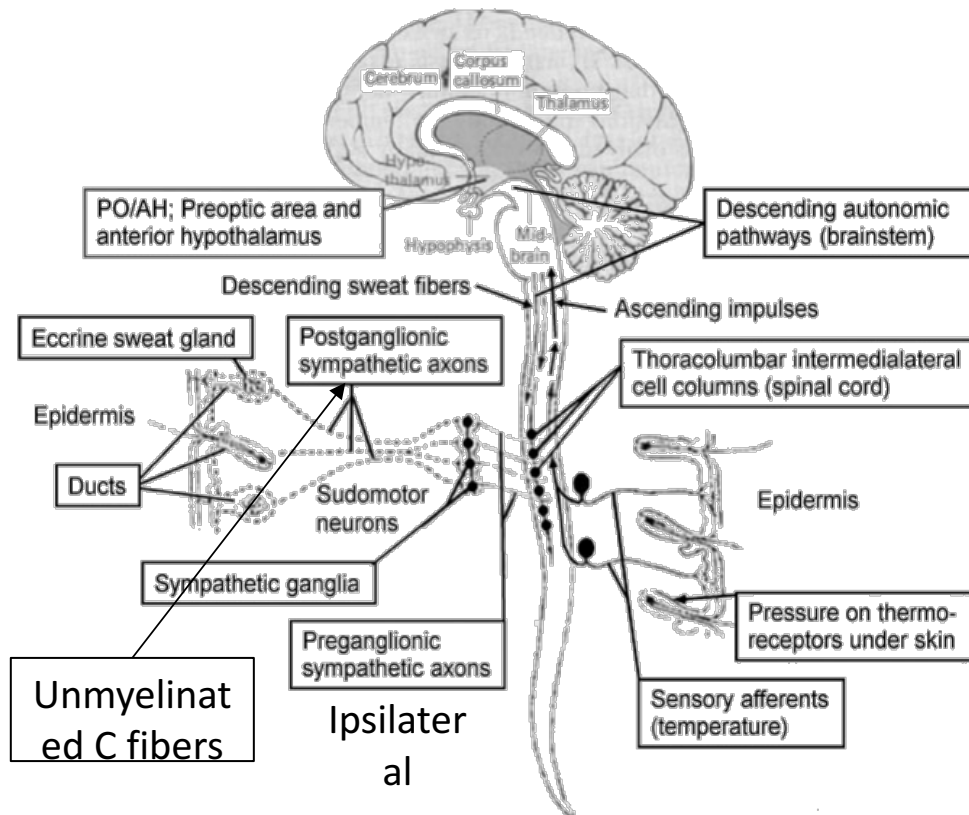
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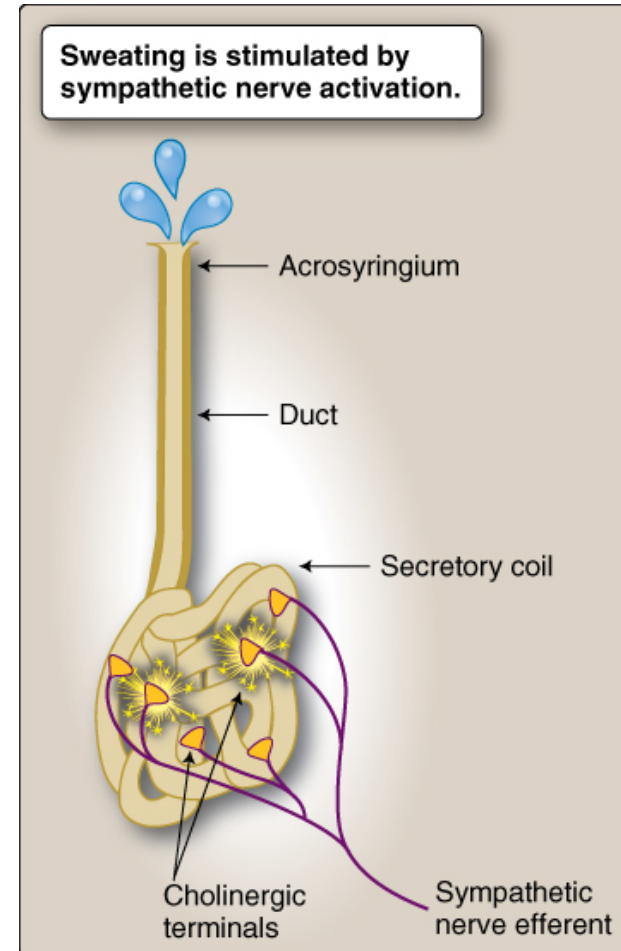
# Pain intensity estimation model



# Skin conductance responses (SCRs) represent sympathetic activity



**Solely sympathetically regulated**

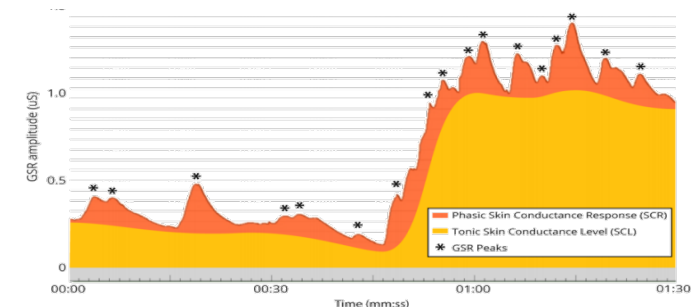


**Innervation:** sudomotor nerves (sympathetic nervous system)

**Location:** mainly foot, forehead, cheek, palm, and forearm.

**Skin conductance responses:** SCR amplitude is related to the number of recruited sweat glands.

- Each sudomotor unit innervates multiple sweat glands.
- Each sweat gland is innervated by many different fibers.

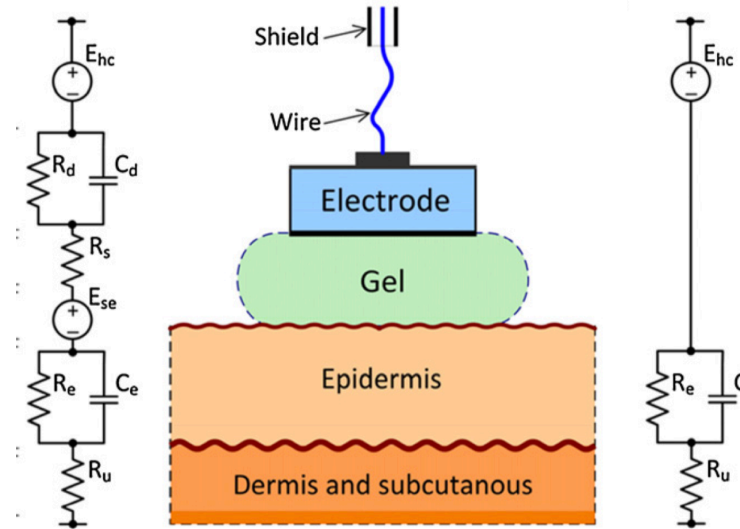


Tonic and phasic components

# Measuring skin conductance

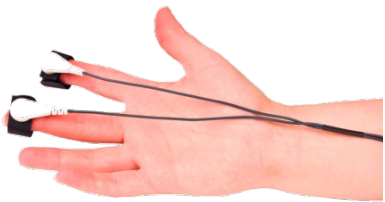
## Non-wearable systems with gel electrodes

- Usually silver/silver chloride (Ag/AgCl).
- Use gel containing propylene glycol.
- Apply constant low voltage and measure current.



## Wearable systems with dry electrodes

- Usually silver/silver chloride (Ag/AgCl).
- Can operate without gel. Depend on perspiration.
- Apply constant low voltage and measure current.



FlexComp Infiniti

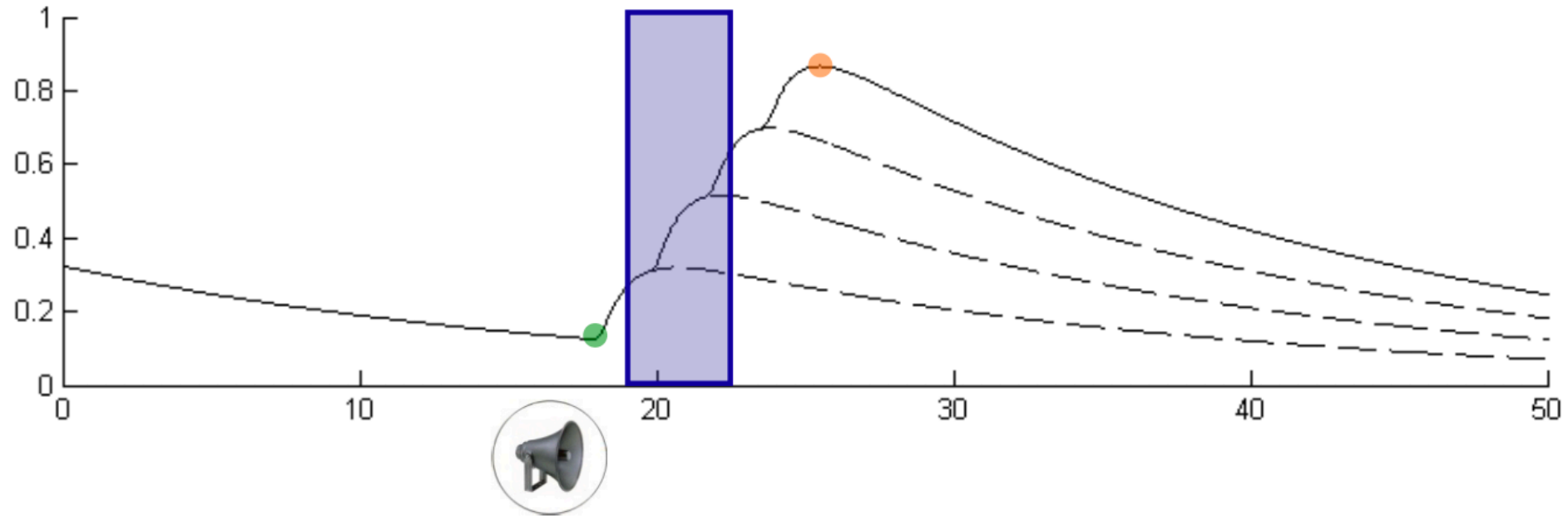


Empatica E4



Empatica Embrace

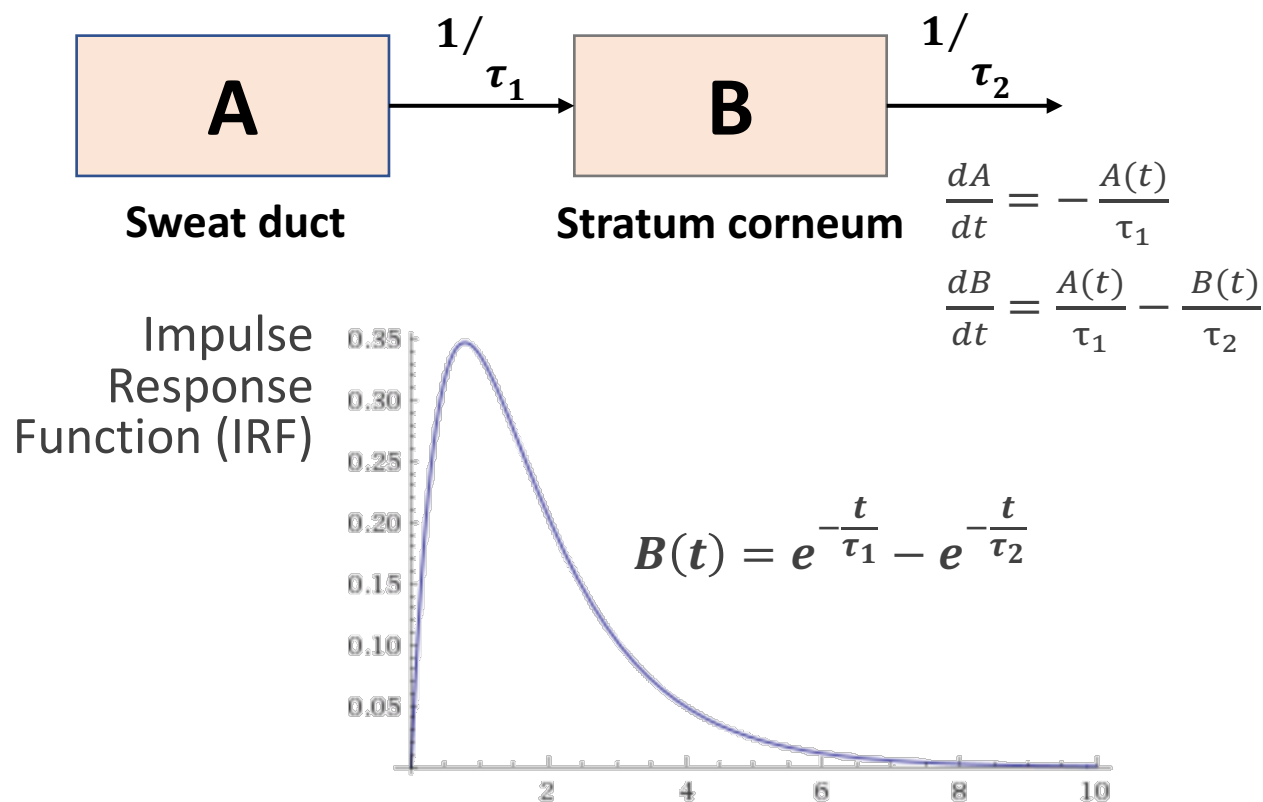
# Closely spaced SCRs lead to signal superposition: skin conductance deconvolution is needed to differentiate responses



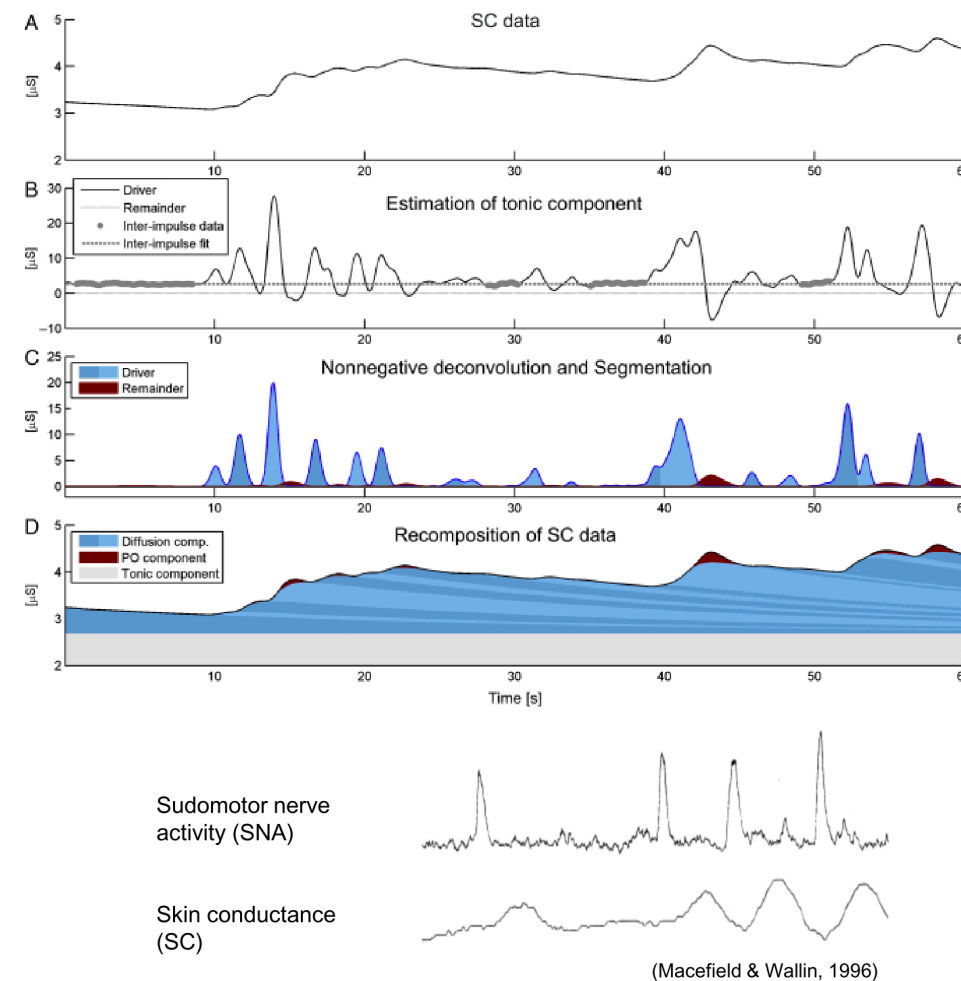
Standard methods result in underestimates of SCR amplitudes and rise times because they do not separate the different underlying SCRs, each driven by a sudomotor burst.

# Skin conductance deconvolution: sweat diffusion model

## 2 compartment model of sweat diffusion

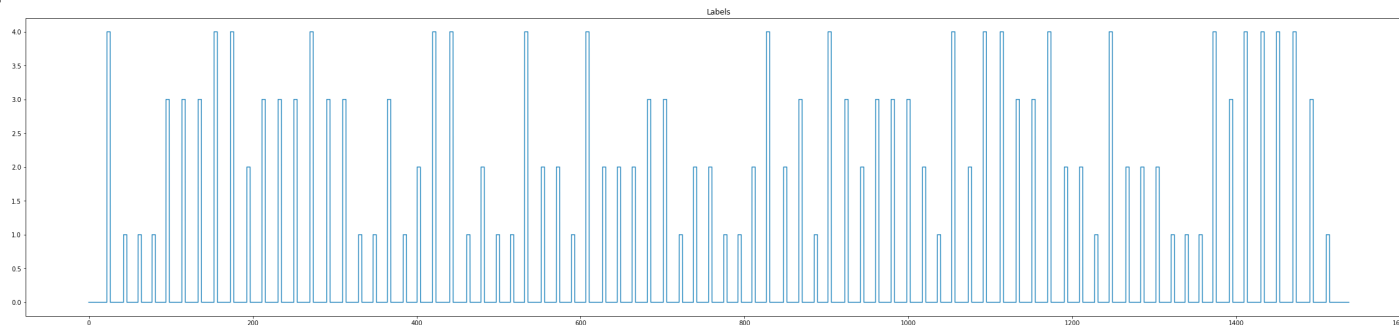


$$SC = SC_{\text{tonic}} + SC_{\text{phasic}} = SC_{\text{tonic}} + \text{Driver}_{\text{phasic}} * \text{IRF}$$

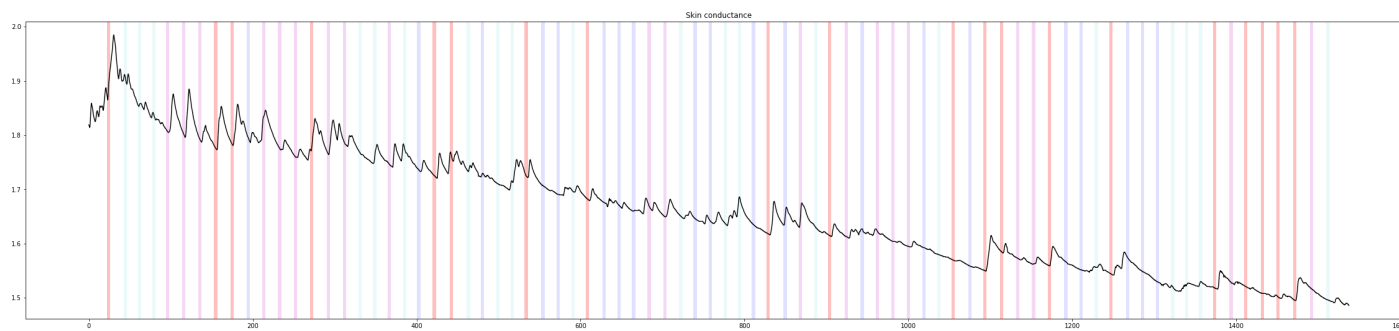


M. Benedek and C. Kaernbach, "Decomposition of skin conductance data by means of nonnegative deconvolution," Psychophysiology, vol. 47, pp. 647–658, 2010.

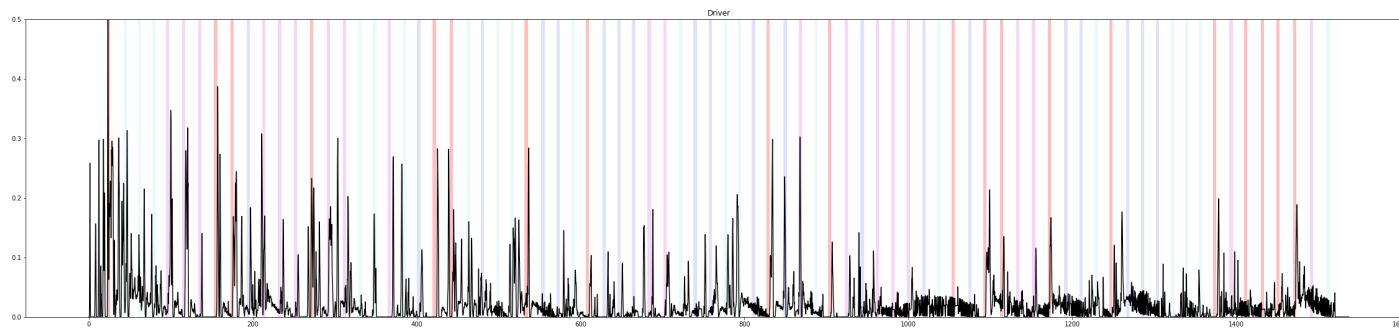
# Skin conductance deconvolution can extract the phasic driver, a correlate for sudomotor neuron activity



← Pain labels  
(0 = no pain, 4 = max pain)



← Skin conductance

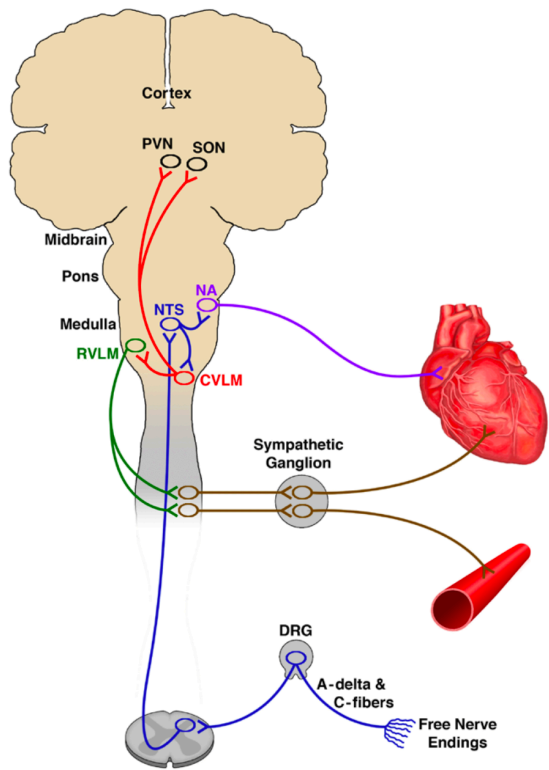


$$SC = SC_{\text{tonic}} + SC_{\text{phasic}} = SC_{\text{tonic}} + \text{Driver}_{\text{phasic}} * \text{IRF}$$

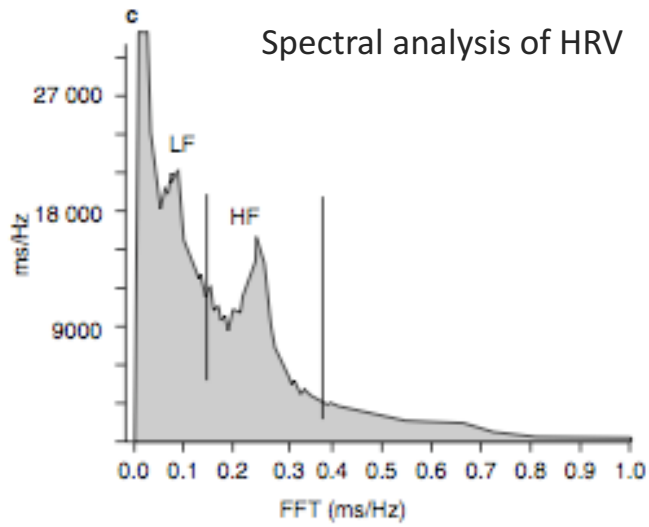
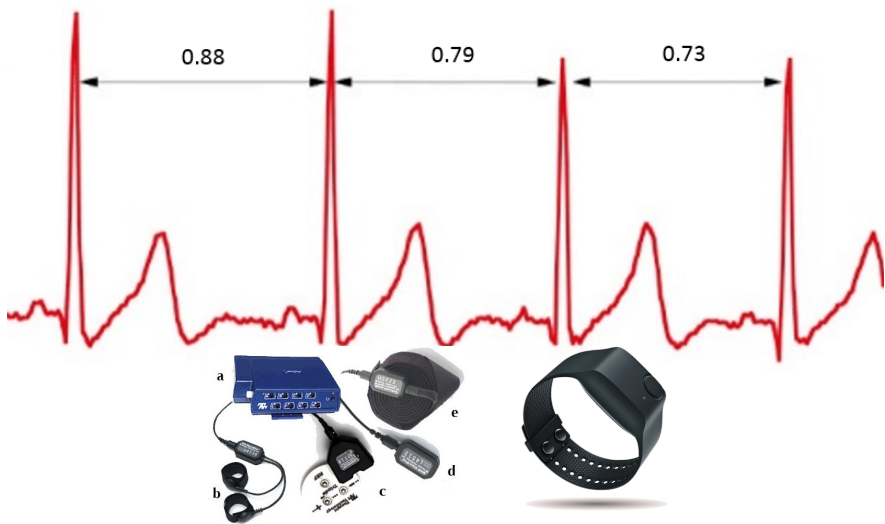
← Phasic driver



# Heart rate variability (HRV) can be used to infer sympathetic/parasympathetic activity



Nociceptive Medullary Autonomic Circuit  
General Anesthesia, Sleep, and Coma (NEJM '10)



HF (0.15-0.4 Hz)	LF (0.04-0.15 Hz)	VLF (0.004-0.04 Hz)
Parasympathetic only	Sympathetic and parasympathetic	Thermoregulation and baroreflex

Pain can be measured by HRV analysis as **increase in LF spectral content** (+sympathetic) and **decrease in HF spectral content** (- parasympathetic)

# Point-Process HRV

## Interbeat Interval Probability Model

Probability of observing the next beat ( $t > t_n^R$ ) follows an Inverse Gaussian distribution of mean  $\mu_{RR}(t)$  and shape parameter  $\lambda_{RR}(t)$ :

$$f_{RR}(t) = \sqrt{\frac{\lambda_{RR}(t)}{2\pi[t - t_n^R]^3}} \exp\left(-\frac{\lambda_{RR}(t)[t - t_n^R - \mu_{RR}(t)]^2}{2\mu_{RR}^2(t)[t - t_n^R]}\right)$$

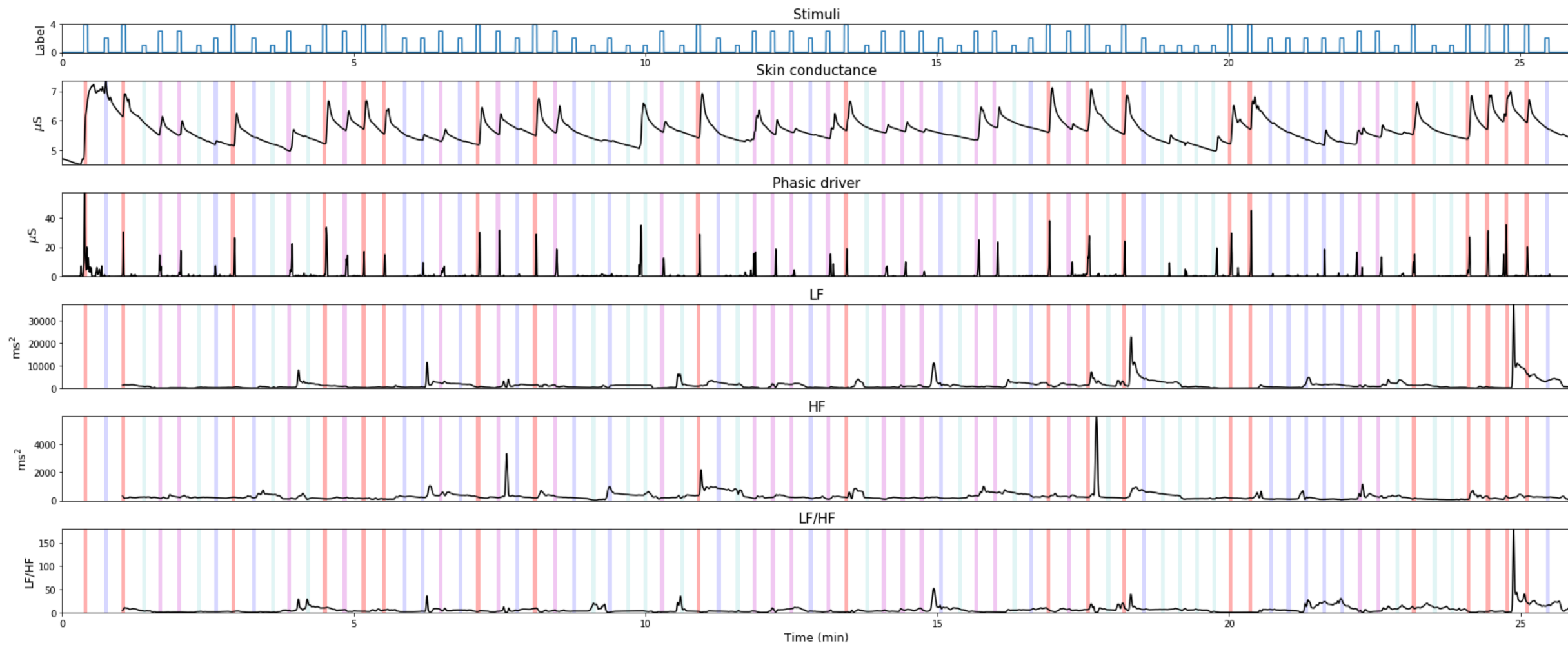
$\mu_{RR}(t)$  is a linear function of  $P$  past heart period  $w_n^R = t_{n+1}^R - t_n^R$   
→ History dependent Inverse Gaussian

$$\mu_{RRI}(t) = a_0^{(1)}(t) + \sum_{k=1}^P a_k^{(11)}(t) w_{n-k}^{RRI}$$

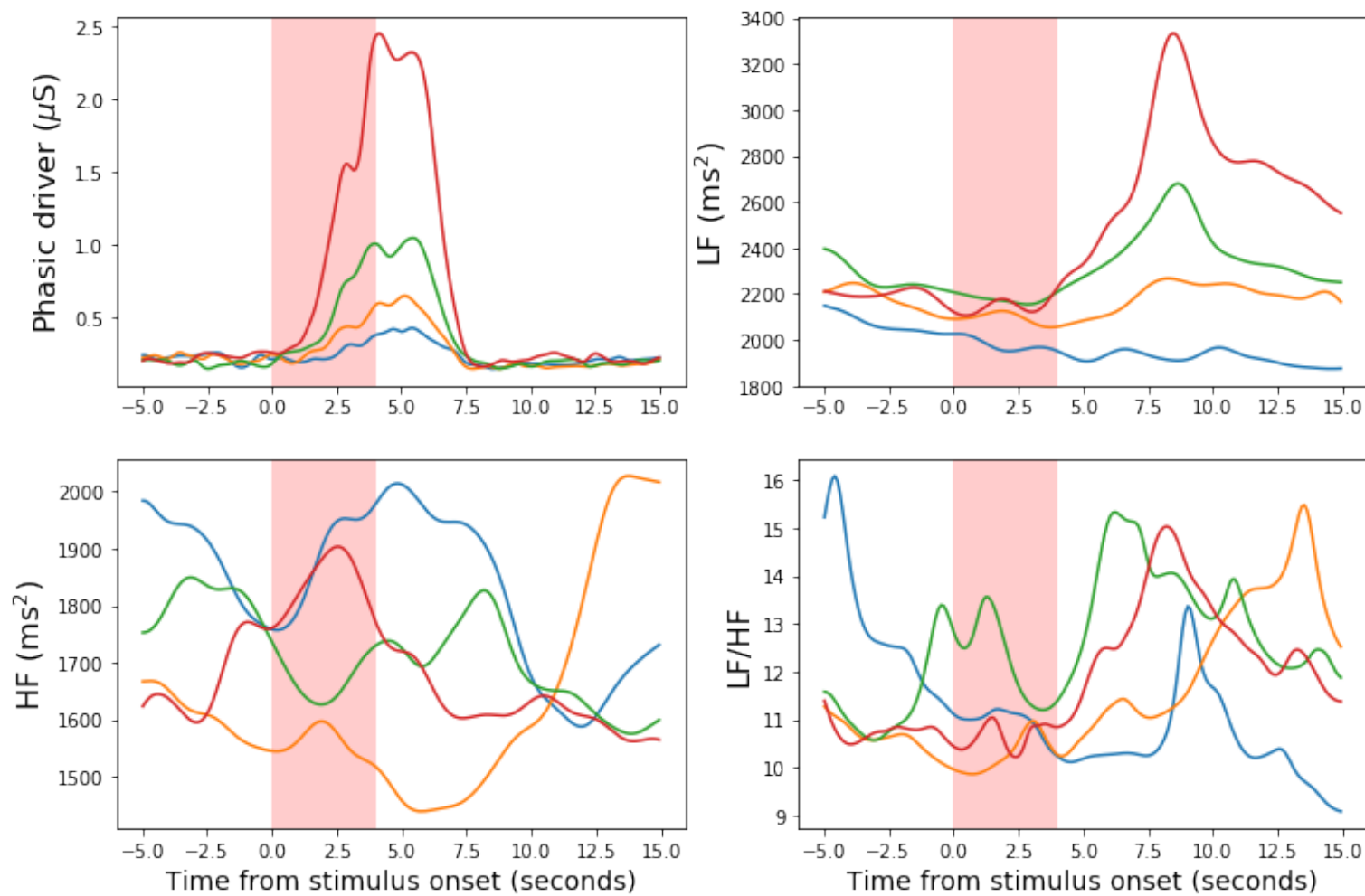
$\{\mu_{RR}(t), \lambda_{RR}(t)\} \rightarrow$  Maximization of **local likelihood**

R. Barbieri and E. Brown, "Analysis of Heartbeat Dynamics by Point Process Adaptive Filtering," IEEE Transactions on Biomedical Engineering, vol. 53, no. 1, pp. 4–12, 1 2006.  
Code available here: [users.neurostat.mit.edu/barbieri](https://users.neurostat.mit.edu/barbieri)

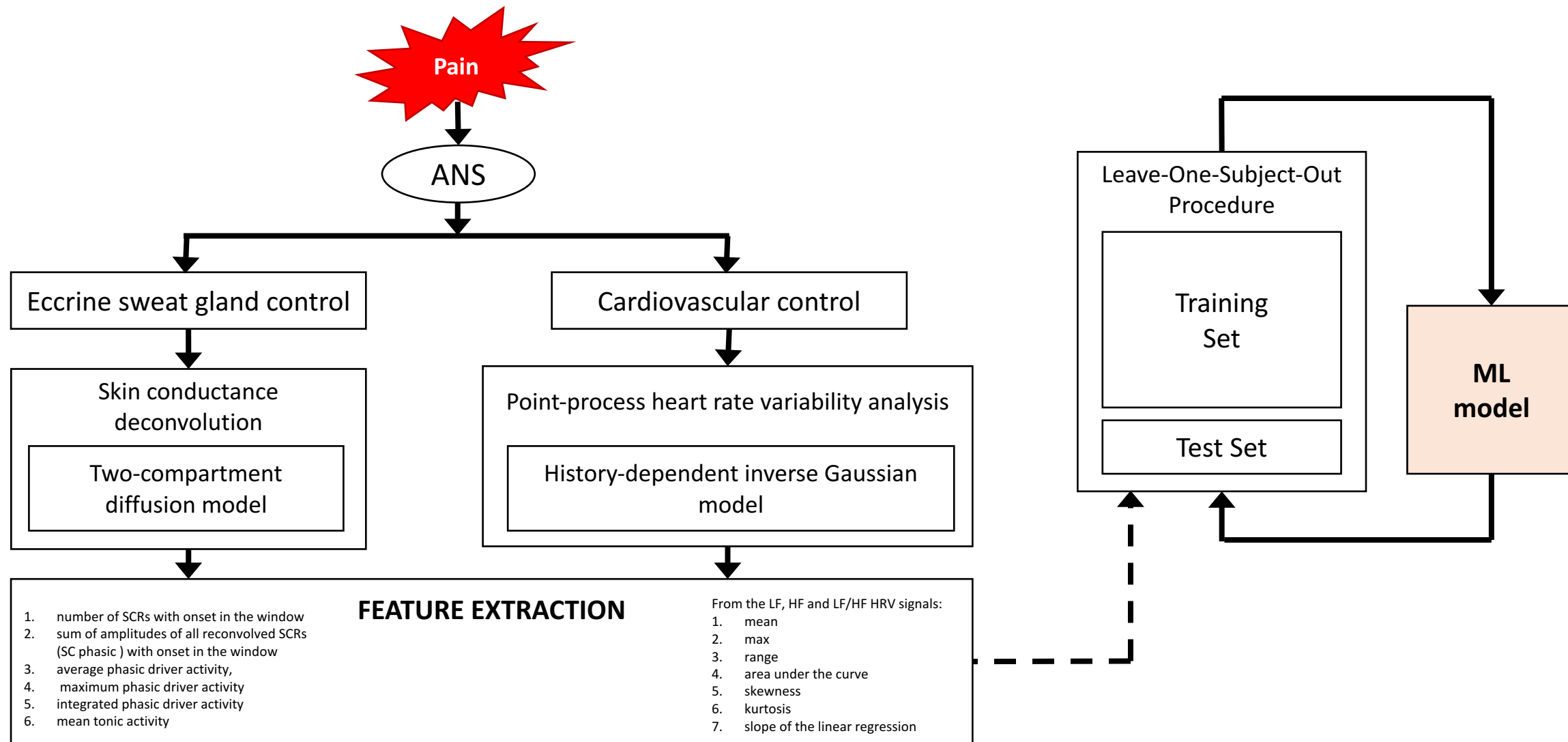
# BioVid Heat Pain Dataset – example of recording



# Average SC and HRV changes with pain over the entire population



# Pain intensity estimation model



# Results on the BioVid Heat Pain Database

## Binary classification

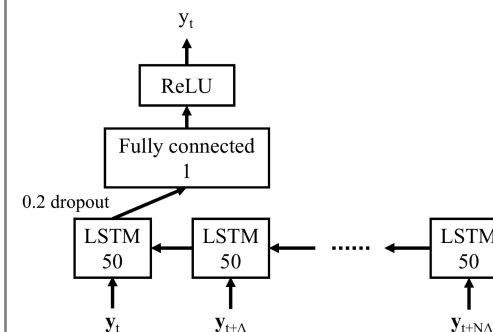
- No pain (BLN) vs P4,  $\text{win}_{\text{SC}}=6\text{sec}$  and  $\text{win}_{\text{HRV}}=8\text{sec}$

Feature set	Accuracy		
	Logistic regression	SVM linear	SVM RBF
SC	<b>74.21(17.54)</b>	<b>72.88(17.23)</b>	<b>67.57(12.91)</b>
HRV LF	57.69(09.34)	55.92(09.57)	51.54(08.19)
HRV HF	50.10(08.03)	50.24(08.63)	49.81(05.04)
HRV LF/HF	53.15(09.56)	50.82(05.45)	47.18(03.67)
SC+HRV	71.89(18.90)	72.20(16.10)	62.07(19.72)

- No pain (BLN) vs P1/P2/P3/P4 with SC features only.

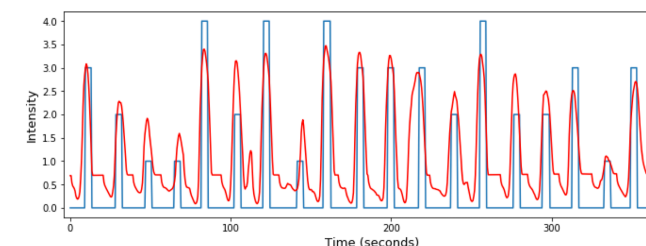
Binary classification task	Accuracy		
	Logistic regression	SVM linear	SVM RBF
BLN vs P4	<b>74.21(17.54)</b>	72.88(17.23)	67.57(12.91)
BLN vs P3	<b>66.00(14.83)</b>	64.14(14.51)	63.60(11.88)
BLN vs P2	<b>59.40(12.61)</b>	58.20(13.99)	58.46(10.04)
BLN vs P1	54.57(10.90)	55.30(10.46)	<b>56.44(09.35)</b>

## Regression (SC)



### LSTM neural network

10 win of duration 5 sec with  $\Delta t=0.5$



### Regression of stimuli

Algorithm	MAE	RMSE	R <sup>2</sup>
Linear Regression	1.16(0.07)	1.36(0.09)	0.06(0.14)
SVR linear	1.15(0.08)	1.37(0.12)	0.05(0.21)
SVR RBF	1.11(0.14)	1.33(0.14)	0.11(0.19)
NR-NN	1.12(0.10)	1.32(0.10)	0.12(0.12)
R-NN	1.07(0.15)	<b>1.29(0.17)</b>	0.22(0.20)
<b>LSTM-NN</b>	<b>1.05(0.15)</b>	<b>1.29(0.16)</b>	<b>0.24(0.19)</b>



# Thanks!

dlmocdm@mit.edu